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FORM PTO-1390 (REV. 10-2000)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER P-2389-US	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/009837	
INTERNATIONAL APPLICATION NO. PCT/IL00/00349		INTERNATIONAL FILING DATE 15 June 2000		PRIORITY DATE CLAIMED 15 June 1999	
TITLE OF INVENTION AN OPTICAL SYSTEM					
APPLICANT(S) FOR DO/EO/US KISLEV, Hanoch et al.					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)). 4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (PCT Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)). a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 					
Items 11. to 16. below concern document(s) or information included:					
11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 14. <input type="checkbox"/> A substitute specification. 15. <input type="checkbox"/> A change of power of attorney and/or address letter. 16. <input checked="" type="checkbox"/> Other items or information: 1) Postcard					

U.S. APPLICATION NO. (if known, see 37 CFR 1.52) 10/009837		INTERNATIONAL APPLICATION NO. PCT/IL00/00349		ATTORNEY'S DOCKET NUMBER P-2389-US	
17. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5): Neither international preliminary examination fee (37 CFR 1.482) Nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1040.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$890.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International search fee (37 CFR 1.445(a)(2)) paid to USPTO \$740.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$710.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00 ENTER APPROPRIATE BASIC FEE AMOUNT =				CALCULATIONS PTO USE ONLY	
				\$ 710.00	
				\$	
				\$	
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Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	12 - 20 =		X \$18.00	\$	
Independent claims	1 - 3 =		X \$84.00	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$280.00	\$	
TOTAL OF ABOVE CALCULATIONS =				\$ 710.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	
SUBTOTAL =				\$ 710.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$	
TOTAL FEES ENCLOSED =				\$ 710.00	
				Amount to be refunded:	\$
				charged:	\$

- a: ☐ A check in the amount of \$_____ to cover the above fees is enclosed.
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A duplicate copy of this sheet is enclosed.
- c: ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
overpayment to Deposit Account No. 05-0649. A duplicate copy of this sheet is enclosed.

Note: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

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AN OPTICAL SYSTEM

FIELD OF THE INVENTION

The present invention relates to an optical system for illuminating and
5 viewing a target.

BACKGROUND OF THE INVENTION

An optical system for illuminating and viewing a target, which comprises
a target, a source of illumination of the target and means for receiving the light
10 remitted from the target, can be defined by an illumination axis and optical axis
that converge at the target.

Such an optical system may be as simple as an operator of an
illumination source viewing a target, wherein the operator embodies the means for
receiving the light remitted from the target. An example of such an optical system
15 is an operator of a vehicle, that is inside the vehicle and is looking out at an
illuminated target such as a road or tunnel walls.

More complex optical systems include automated processors as means
for receiving the light remitted from a viewed target. Examples of such optical
systems can be found in diagnostic apparatuses such as endoscope devices.
20 The endoscopes described in the art comprise an image pickup element and an
illuminating element for illuminating an examined target.

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For these optical systems it is advantageous to have the illuminating element and receiving means contained within a single compartment, namely behind a single optical window.

In a vehicle carrying an operator, the illuminating elements are usually situated outside the vehicle, thereby requiring the operator to leave the vehicle for repairs or the like. In vehicles such as submarines or trains travelling in a dark tunnel, this may be a perilous task.

In diagnostic apparatuses, especially those meant to be inserted into body orifices, having a single optical window is advisable for hygienic and practical considerations.

A frequent problem encountered in having the illumination element and means for receiving remitted light contained behind a single optical window is the "noise" (backscatter and stray light) produced by light remitted from the optical window itself, which is received by the receiving means.

Presently used techniques for reducing noise include utilizing light guiding means, or separating the illumination element from the receiving means.

For example, US 5,840,014 (Miyano et al.) describes an endoscope having an illumination window and a viewing window having a detachable protective covering and a transparent material for purging air from the space between the front end and the detachable covering, for lowering loss in illumination light quantity.

SUMMARY OF THE INVENTION

The present invention provides an optical system for illuminating and viewing a target in which an illumination element and a receiving means are disposed behind a single optical window, and which obtains data essentially free of backscatter and stray light.

The optical system according to the present invention comprises at least one illumination element and at least one receiving means, both disposed behind a single optical window having a plurality of reflecting surfaces.

The optical window is configured such that it defines a shape having at least one focal curve.

At least one illumination element and at least one receiving means are geometrically positioned on the focal curve plane or in proximity of the focal curve plane, such that, when illuminating, rays from the illumination elements, that are internally reflected from the optical window surfaces, will not be incident on the receiving means.

It will be appreciated that the term "receiving means" relates to any means suitable for receiving, processing or further transmitting illumination rays remitted from a target or data derived from these rays.

In an embodiment of the invention the optical window is an ellipsoid shaped dome. A plurality of illumination elements are positioned on the ellipsoid focal curve and a receiving means is positioned on the axis of symmetry of the ellipsoid at an equal distance from the illumination elements.

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The components of the system, thus positioned, ensure that when illuminating, all the light internally reflected from the optical window surfaces is received at points on the focal curve and is not incident on the receiving means.

The present invention further provides a diagnostic instrument
5 comprising an optical system according to the present invention.

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BRIEF DESCRIPTION OF THE FIGURES

The present invention will be understood and appreciated more fully from the following detailed description taken in conjunction with the figures in which:

5 Figures 1A and 1B are schematic two and three dimensional illustrations, respectively, of an optical system according to the present invention; and

 Figures 2A and 2B are schematic illustrations of two embodiments comprising the optical system of the present invention: a diagnostic device and a
10 vehicle carrying receiving means, respectively.

DETAILED DESCRIPTION OF THE INVENTION

The present invention relates to an optical system based on geometrically positioning both illumination elements and means for receiving light
15 behind a single optical window, such that internally reflected light from the optical window will not be incident on the receiving means.

The optical window, which is made of any suitable glass or plastic, can be viewed as being assembled from infinitesimal level surfaces, each level surface internally reflecting an illumination ray incident on it at a reflection angle
20 equal to the angle of incidence. The level surfaces are angled to each other such that reflected illumination rays are always converged at a single known point.

This assembly can result in a shape having focal points (for example, an ellipse) and an optical window thus assembled would have the optical property that light rays emitted from one focal point, which are internally reflected, will be

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propagated to the second focal point. In a three dimensional shape (such as an ellipsoid) light rays emitted from a point on a focal curve, which are internally reflected, will be propagated to another point on the focal curve.

For example, in the field of arc lamp systems this property is used to collect energy efficiently. For example in Model A -1010 and A - 1010B lamp housings provided by Photon Technology International of New Jersey, USA, an arc source is located at a foci of an ellipsoid reflector and the radiation is reflected to another foci. Energy is collected efficiently since the light is brought to a focus by reflection rather than by refraction (through a lens) such that there is no loss due to absorption or lens surface back reflection.

In the optical system of the present invention the illumination elements are positioned on focal points and the receiving means' position does not coincide with the focal points, thus ensuring that internally reflected light is propagated to focal points and not received by the receiving means.

Reference is now made to Fig. 1A which is a schematic two dimensional presentation of an optical system according to the present invention.

Fig. 1A is a two dimensional illustration of an optical system generally referenced 10. The optical system 10 comprises an illumination element 11 and receiving means 13, both disposed behind an optical window 14, for viewing target 15. Optical window 14 has a surface configured such that a shape defined by it and by broken line A has an axis of symmetry B and two focal points 19 and 12. Illumination element 11 is positioned on focal point 19 and receiving means 13 is positioned on the axis of symmetry B not coinciding with either focal point 19 or 12.

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The course of light rays emitted from illumination element 11 will be followed as an example of the behavior of illumination rays in the optical system of the invention. Light 16 is emitted from illumination element 11 (which element's position coincides with focal point 19) for illuminating target 15. A certain percent of the light (represented by ray 17) is internally reflected from the optical window 14 surfaces 14' and 14'' and is propagated to the second focal point 12. A percent of the light 16 (represented by ray 18) is incident on target 15, is reflected from target 15 and received by receiving means 13.

Thus, internally reflected light rays (such as ray 17) are propagated to areas outside the receiving means 13 area.

Receiving means 13 is also unexposed to direct illumination from illumination element 11. Illumination element 11 may illuminate light 16 in a circular band that is tangent to line B. In this case, if receiving means 13 is positioned on line B it will not receive any direct illumination rays from illumination element 11. Alternatively, receiving element 13 can be concealed in a niche 13' to avoid receiving direct illumination rays from illumination element 11.

Thus, geometric positioning of the components of the system ensures that no backscatter, such as ray 17, and no direct light, only incident light, such as ray 18, is received by receiving means 13.

In actuality, the optical window 14 is a three dimensional shape. A three dimensional representation of the optical system 10 of Fig. 1A, is shown in Fig 1B.

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In the optical system 10 shown in Fig. 1B, plane B, formed along line B from Fig. 1A, is shown. Axis C is perpendicular to plane B. The shape on plane B which is defined by optical window 14, encompasses focal curve D.

A plurality of illumination elements, such as 11 and 11', may be positioned on focal curve D to enable a uniform spatial illumination, though it should be appreciated that any number of illuminating elements can be used according to specific requirements of the system.

Receiving means 13 is positioned at a point which is on, or in the vicinity of, axis C, essentially at an equal distance from both illuminating elements 11 and 11', and on, or in the vicinity of plane B, such that it receives incident light remitted from target 15. All the light radiated from illuminating elements 11 and 11' that is internally reflected from the optical window surfaces is received at points on focal curve D and is not incident on receiving means 13.

Thus data obtained by receiving means 13 is essentially free of backscatter and stray light.

Two of the possible applications for the optical system of the present invention are provided as two different embodiments, illustrated in Figs. 2A and 2B.

Fig 2A illustrates a swallowable capsule which includes a) a camera system, b) an optical system for imaging an area of interest onto the camera system and c) a transmitter which transmits the video output of the camera system. Such a swallowable capsule is disclosed in US 5,604,531, assigned to the common assignees of the present application, which is hereby incorporated

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by reference. The swallowable capsule can pass through the entire digestive tract and thus, operates as an autonomous video endoscope.

The capsule, generally referenced 20 is shaped as an ellipsoid. The capsule 20 comprises a housing unit 21 and a viewing unit 23, for viewing a target point 29 on the digestive tract wall. The viewing unit 23 comprises an optical system according to the invention.

The optical system comprises a protective optical window 24, preferably made of isoplast, two illumination elements 25 and 27 and an imaging device 28. Illumination elements 25 and 27 are positioned on a focal plane perpendicular to the axis of symmetry of the ellipsoid defined by the body of the capsule 20. The imaging device 28, such as a camera, is positioned on the axis of symmetry of the capsule 20.

Light rays emitted from illumination elements 25 and 27, that reach a target point 29 on the digestive tract wall are reflected to imaging device 28, whereas light rays internally reflected from protective optical window 24 are propagated to points on the focal curve and not to imaging device 28.

It will be appreciated that protective optical window 24, being a single and complete unit, is easily disposable, and can be smoothly replaced between different passes through the digestive tract. This fact, which is not affordable by endoscopes described in the art, contributes to the sterile and facile use of a diagnostic device comprising the optical system of the invention.

Thus, the present invention provides a simply assembled diagnostic device which can obtain data, essentially free of noise such as backscatter and stray light.

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Fig. 2B illustrates a vehicle, such as a submarine, generally referenced 30. Submarine 30 is shaped such that its eccentricity is equal to or larger than zero and smaller than 1.

The submarine 30 comprises a propulsion unit 31 and a viewing cell 33, encased by window 34, in which an operator or a monitoring device 38 are positioned on the axis of symmetry of the shape of submarine 30. A target of interest 39, in the deep waters, is being viewed. The target of interest 39 is illuminated by illumination elements 35 and 37 that are positioned on a focal plane of the shape defined by the body of the submarine 30, such that light rays internally reflected from window 34 do not blind the operator and/or are not received by monitoring device 38.

CLAIMS

1. An optical system comprising at least one illumination element and at least one receiving means, both disposed behind a single optical window, having a plurality of reflecting surfaces, wherein

5 said optical window is configured such that it defines a shape having at least one focal curve; and

 wherein at least one illumination element and at least one receiving means are positioned in proximity of the focal curve plane, such that, when illuminating, rays from the illumination element, that
10 are internally reflected from the optical window surfaces, will not be incident on the receiving means.

2. The optical system according to claim 1 wherein the at least one illumination element is positioned on the focal curve.
3. The optical system according to claim 1 wherein the receiving means is
15 positioned on the focal curve plane but not in vicinity of the focal curve.
4. The optical system according to claim 1 wherein the illumination element illuminates a target on the opposite side of the optical window and wherein illumination rays remitted from the target are received by the receiving means.
- 20 5. The system according to claim 1 wherein the shape defined by the optical window is an ellipsoid.
6. A diagnostic device comprising an optical system according to claim 1.
7. A diagnostic device comprising an optical system according to claim 5.

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8. A diagnostic device according to claim 6 wherein the device is an endoscope.

9. A diagnostic device according to claim 7 wherein the device is an endoscope.

5 10. Use of an optical system according to claim 1 for viewing a target outside the optical window of the optical system.

11. A diagnostic device according to claim 6 wherein the device is a swallowable capsule which comprises a system for imaging the digestive tract and a transmitter which transmits the output of the imaging system wherein the system for imaging the digestive tract comprises the
10 receiving means.

12. A diagnostic device according to claim 7 wherein the device is a swallowable capsule which comprises a system for imaging the digestive tract and a transmitter which transmits the output of the imaging system wherein the system for imaging the digestive tract comprises the
15 receiving means.

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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International Bureau



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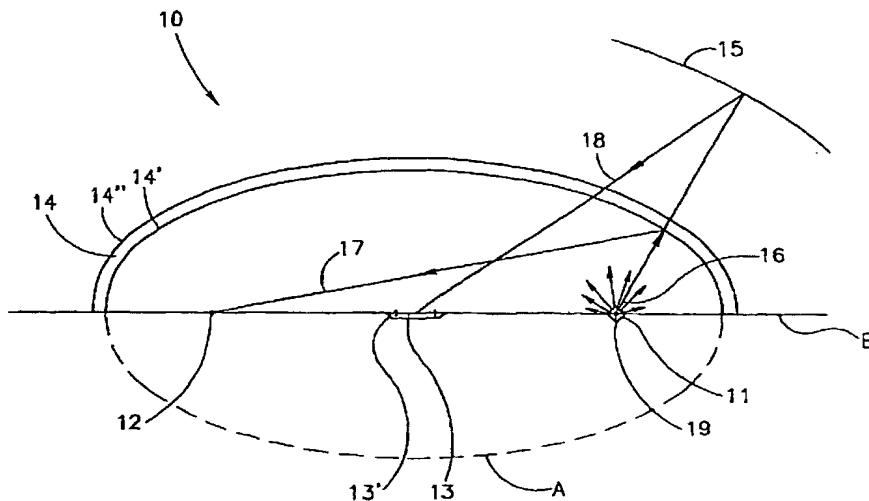
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(81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.

(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

[Continued on next page]

(54) Title: **AN OPTICAL SYSTEM**



(57) Abstract: An optical system for illuminating and viewing a target (15) in which an illumination element (16) and a receiving element (13) are disposed behind a single optical window (14), and which obtains data essentially free of backscatter and stray light. The optical window (14) is configured such that it defines a shape having at least one focal curve, i.e., an ellipsoid shaped dome. The illumination element (16) and the receiving element (13) are geometrically positioned on the focal curve plane or in proximity of the focal curve plane, such that, when illuminating, rays from the illumination element internally reflected from the optical window (14) will not incident on the receiving element (13).

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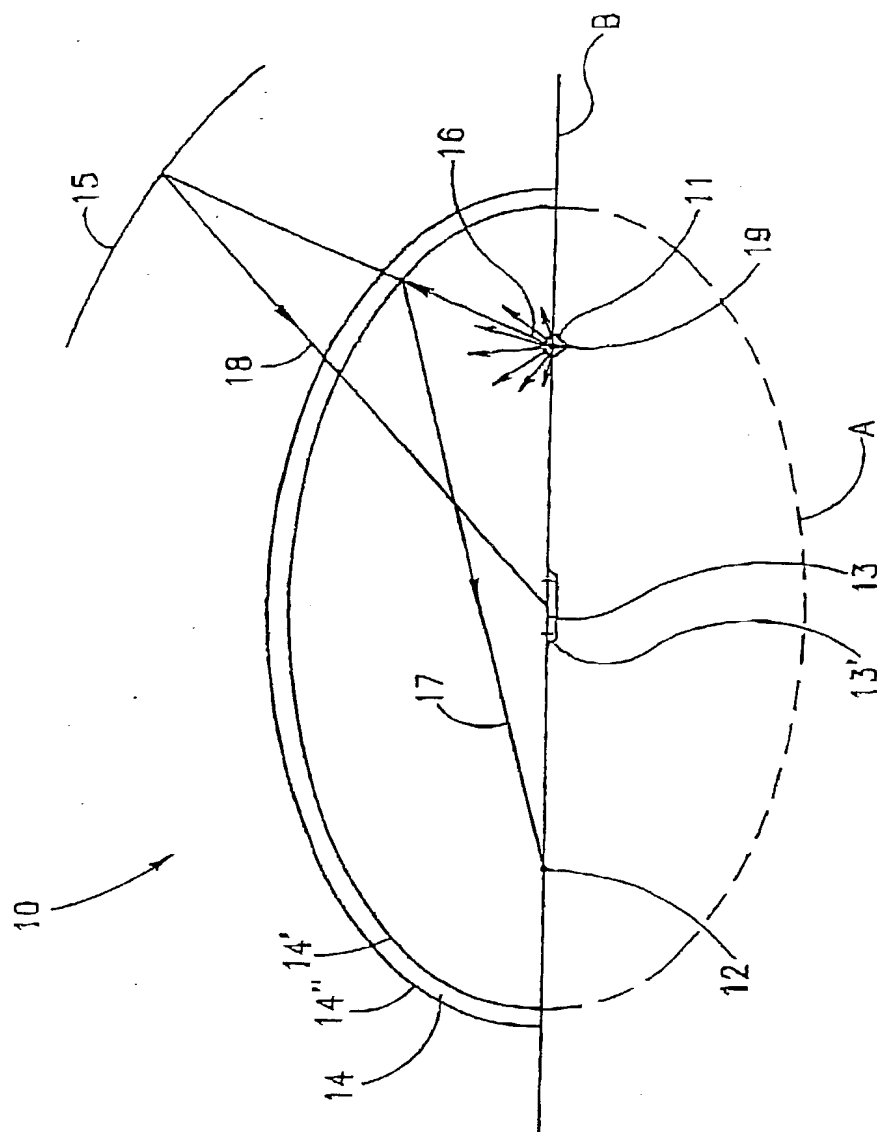


FIG. 1A

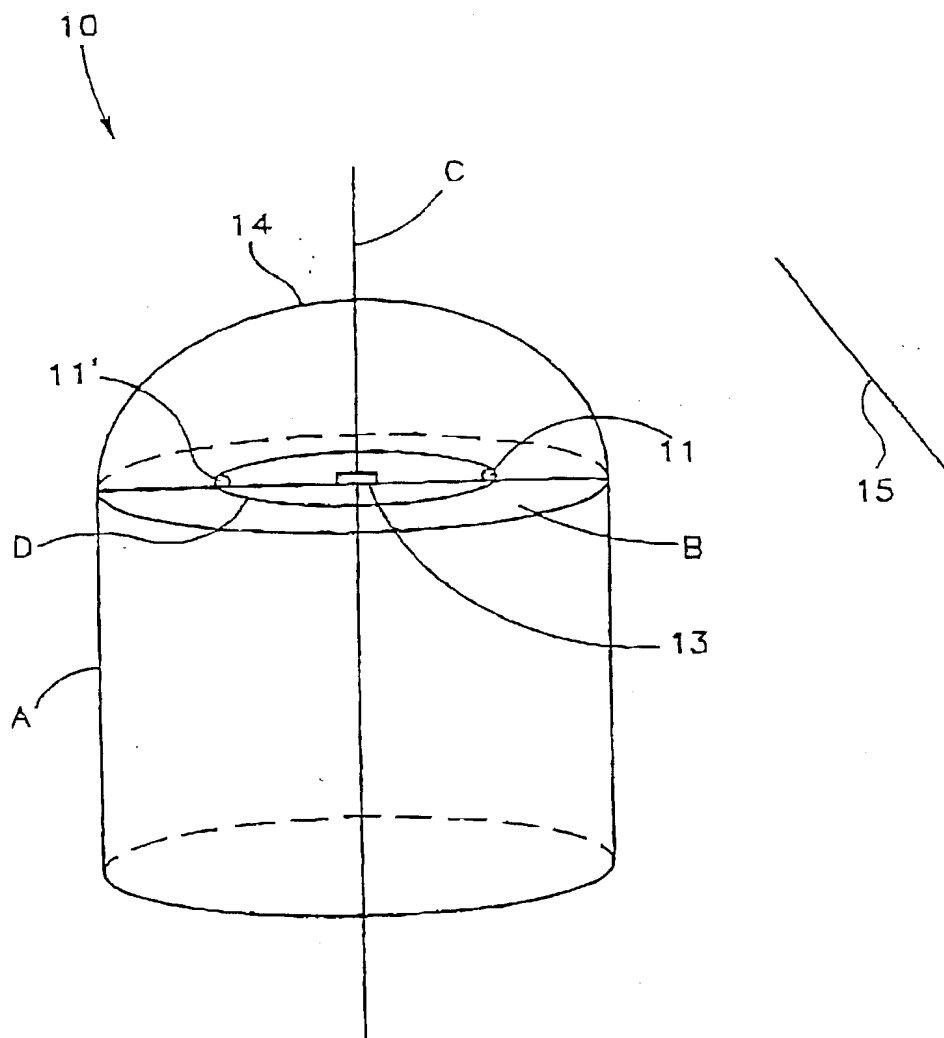


FIG. 1B

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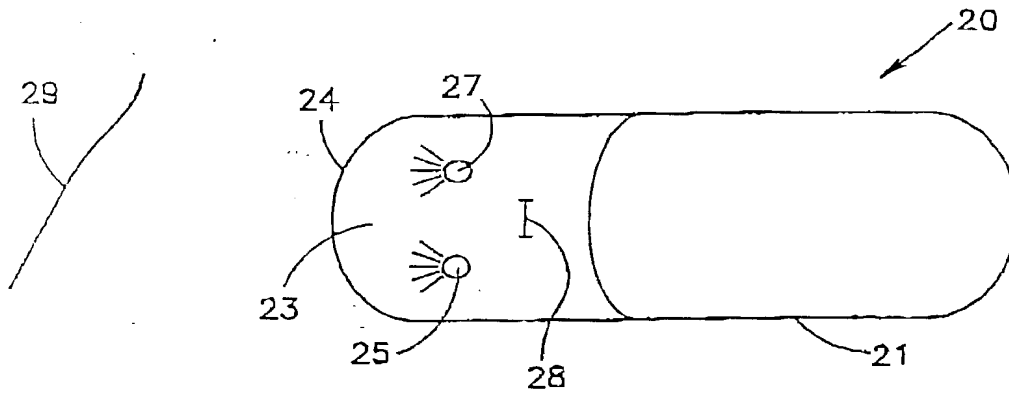


FIG. 2A

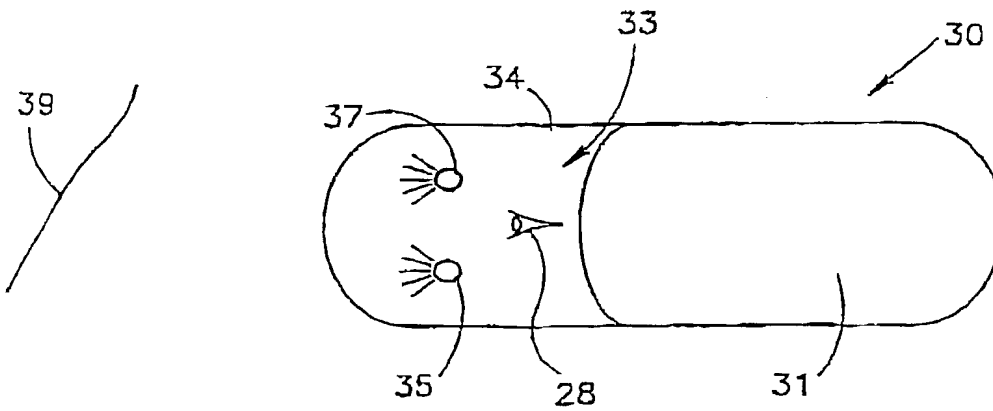


FIG. 2B



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Attorney Docket No.: P-2389-US

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below under my name.

I believe that I am an original, sole and or joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled

AN OPTICAL SYSTEM

the Specification of which

☐ is attached hereto
☒ was filed on **June 15, 2000**
as United States Application Number or PCT International
Application No. **PCT/IL00/00349**
and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified Specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any provisional application filed in the United States in accordance with 35 U.S.C. §1.119(e), or any application for patent that has been converted to a Provisional Application within one (1) year of its filing date, or any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

PRIOR FILED APPLICATION(S)

<u>APPLICATION NUMBER</u>	<u>COUNTRY</u>	<u>(DAY/MONTH/YEAR FILED)</u>	<u>PRIORITY CLAIMED</u>
130486	IL	15 June 1999	Yes
PCT/IL00/00349	PCT	15 June 2000	Yes

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application listed below, and, insofar as the subject matter of each of the claims of this application is not disclosed in any prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a), which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Attorney Docket No.: P-2389-US

APPLICATION
NO.FILING DATE
(DAY/MONTH/YEAR)STATUS - PATENTED,
PENDING, ABANDONED

I hereby appoint as my attorney(s) and agent(s) Heidi M. Brun (Agent, Registration No. 34,504), or Mark S. Cohen (Attorney, Registration No. 42,425) ~~or~~ Rochel L. Abboudi (Agent, Registration No. 44,490) or Vladimir Sherman (Attorney, Registration No. 43,116) or Adele Marcus (Agent, Registration No. 47,769) or Caleb Pollack (Attorney, Registration No. 37,912) said attorney(s) and agent(s) with full power of substitution and revocation to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (6)

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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COUNTRY OF CITIZENSHIP: Israel

FULL POST OFFICE ADDRESS: same

SIGNATURE OF INVENTOR H. Kislev

DATE August 12, 2002

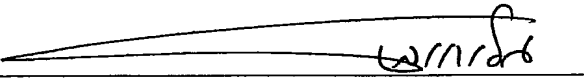
Attorney Docket No.: P-2389-US

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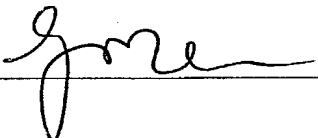
COUNTRY OF CITIZENSHIP: Israel ILX

FULL POST OFFICE ADDRESS: same

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COUNTRY OF CITIZENSHIP: Israel

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FULL POST OFFICE ADDRESS: same

SIGNATURE OF INVENTOR DATE 1/05/02